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In The Name of God  
Introduction to Artificial Neural Networks

Lecture 1:

## **Introduction**

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## **Outline**

- **Introducing the course**
  - ◆ Aims and Learning Outcomes
  - ◆ Assessment
  - ◆ Lecture Plan
  - ◆ Recommended Books
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- **(Artificial) Neural Network ?**
- **Basic concepts**
- **Neural Network Definitions**
- **Basic characteristic of biological neurons**
- **Benefits of Neural Networks**
- **Who is concerned with NNs?**
- **Some Current Artificial Neural Network Applications**

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## **Aims and Learning Outcomes**

### **■ Aims**

- 1. Introduce some of the fundamental techniques and principles of neural network systems.
- 2. Investigate some common models and their applications.

### **■ Learning Outcomes**

- 1. Understand the relation between real brains and simple artificial neural network models.
- 2. Describe and explain the most common architectures and learning algorithms for Multi-Layer Perceptrons, Radial-Basis Function Networks and Kohonen Self-Organizing Maps (SOM), Hopfield, Adaptive Resonance Theory (ART), ...
- 3. Explain the learning and generalization aspects of neural network systems.
- 4. Demonstrate an understanding of the implementational issues for common neural
- 5. Demonstrate an understanding of the practical considerations in applying neural networks to real classification, recognition and approximation problems.

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## **Assessment**

- 50% Closed book examination
- 20% Homework
- 20% Final project
- 10% Class Interactive Participation

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## **Lecture Plan(1)**

- **Introduction to Neural Networks and their History. Biological & Neurons and Neural Networks. Artificial Neurons.**
- **Pattern recognition and regression**
- **Networks of Artificial Neurons. Single Layer Perceptrons Learning and Generalization in Single Layer Perceptrons**
- **Basic structures and properties of Artificial Neural Networks**
- **Introduction to learning: Hebbian Learning. Gradient Descent Learning. The Generalized Delta Rule. Practical Considerations.**
- **Learning in Multi-Layer Perceptrons. Back-Propagation Learning with Momentum. Conjugate Gradient Learning**

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## **Lecture Plan(2)**

- **Bias and Variance. Under-Fitting and Over-Fitting. Improving Generalization.**
- **Applications of Multi-Layer Perceptrons.**
- **Radial Basis Function Networks: Introduction, Algorithms and Applications.**
- **Competitive Learning and Self Organizing Maps: Fundamentals, Algorithms and Applications.**
- **Learning Vector Quantization (LVQ).**
- **Hopfield net: Fundamentals, Algorithms and Applications**
- **Adaptive Resonance Theory (ART): Fundamentals, Algorithms and Applications**

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## Recommended Books

- ◆ Haykin, S.  
*Neural Networks. A Comprehensive Foundation.*,  
Second Edition, Prentice-Hall, Inc., New Jersey, 1999.
- ◆ R. Beale & T. Jackson  
*Neural Computing : An Introduction*  
IOP Publishing, 1990
- ◆ Hagan,M.T., Demuth,H.B. and Beale,M.H.  
*Neural Network Design*,  
PWS Publishing Co., Boston, MA, 1996.
- ◆ Hertz,J., Krogh,A., and and Palmer,R.G.  
*Introduction to The Theory of Neural Computation*,  
Addison-Wesley Publishing Company Inc., Reading, MA, 1991.
- ◆ Zurada,J.M.  
*Introduction to Artificial Neural Systems*,  
PWS Publishing Co., 1992.
- ◆ Bishop, C.  
*Neural Networks for Pattern Recognition*  
Oxford University Press, 1995.

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## Table of Ref.

### Main Recommended Books

Title	Author(s)	Publisher, Date	Comments
Neural Networks: A Comprehensive Foundation	Simon Haykin	Prentice Hall, 1999	Very comprehensive and up-to-date, but heavy in maths.
An Introduction to Neural Networks	Kevin Gurney	UCL Press, 1997	Non-mathematical introduction.
Neural Networks for Pattern Recognition	Christopher Bishop	Clarendon Press, Oxford, 1995	This is the book I always use.
The Essence of Neural Networks	Robrt Callan	Prentice Hall Europe, 1999	Concise introductory text.

### Other Good Books

Title	Author(s)	Publisher, Date	Comments
Introduction to Neural Networks	R. Beale & T. Jackson	IOP Publishing, 1990	Former recommended book.
An Introduction to the Theory of Neural Computation	J. Hertz, A. Krogh & R.G. Palmer	Addison Wesley, 1991	Good all round book. Slightly mathematical.
Parallel Distributed Processing: Volumes 1 and 2	D.E. Rummelhart, J.L. McClelland, et al.	MIT Press, 1986	The original neural networks bible.
The Computational Brain	P.S. Churchland & T.J. Sejnowski	MIT Press, 1994	Good for computational neuroscience.
Principles of Neurocomputing for Science and Engineering	F.M. Ham & I. Kostanic	McGraw Hill, 2001	Good advanced book, but rather mathematical.

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## Reference of Presentation

- M. Shahram Moin, "Artificial Neural Networks", Iran telecommunication research center
- Jin Hyung Kim, "Neural Networks: Introduction", Computer Science Department KAIST
- Jianfeng Feng, "Introduction to Neural Networks", School of Cognitive and Computing Sciences, [jianfeng@cogs.susx.ac.uk](mailto:jianfeng@cogs.susx.ac.uk)
- John A. Bullinaria, "Introduction to Neural Networks" <http://www.cs.bham.ac.uk/~jxb/inn.html>
- Jonathan Shapiro, "Neural Networks", Department of Computer Science, University of Manchester, [jls@cs.man.ac.uk](mailto:jls@cs.man.ac.uk).
- Howard Demuth, Mark Beale, "Neural Network Toolbox"
- Paul Sajda, "Computational Neural Modeling and Neuroengineering", <http://www.bme.columbia.edu/~sajda/bme6480>
- Ricardo Gutierrez-Osuna, "Introduction to Pattern Analysis", Texas A&M University, <http://faculty.cs.tamu.edu/rgutier/>
- William H. Hsu, "A Brief Survey of Machine Learning", Department of Computing and Information Sciences, KSU, <http://www.cis.ksu.edu/~bhsu>

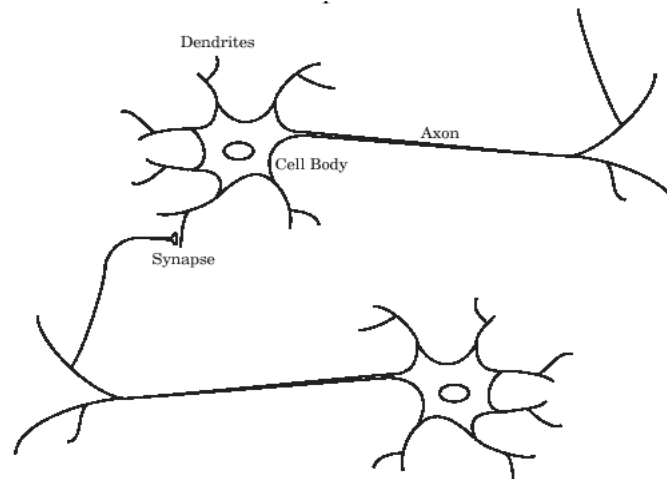
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## Comments on Mathematical Requirements

- The easiest way to formulate and understand neural networks is in terms of mathematical concepts and equations ( Vector and Matrix).
- Once you have the equations it is fairly straightforward to convert them into C/C++/Pascal/MATLAB programs.
- This module will introduce and explain any necessary mathematics as and when we need it. Much of this will also be useful for other modules, such as Machine Learning.
- You will not be required to perform any mathematical derivations in the examination.

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## The Neuron



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## (Artificial) Neural Network ?

- Computational model inspired from neurological model of brain
- Human brain computes in different way from digital computer
  - ◆ highly complex, nonlinear, and parallel computing
  - ◆ many times faster than d-computer in
    - pattern recognition, perception, motor control
  - ◆ has great structure and ability to build up its own rules by experience
    - dramatic development within 2 years after birth
    - continues to develop afterward
  - ◆ Plasticity : ability to adapt to its environment

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## Basic concepts (1)

- No universally accepted definition
- Most people in the field agree that:
  - ◆ An NN is a network of many *simple processors (units)*
  - ◆ Each processor has a small amount of *memory*
  - ◆ Units are connected by communication channels (*connections*)
- Some NNs are models of biological neural networks and some are not
- Historically, inspiration came from desire to produce artificial systems capable of *sophisticated*, perhaps *intelligent* computations similar to those that the human “*brain*” performs
- Enhance our understanding of the human brain

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## Basic concepts (2)

- Training
  - ◆ A set of rules
  - ◆ Adaptation,
  - ◆ *Weights* of connections are *adjusted* on the basis of data
- Generalization
  - ◆ *learn* from training examples and exhibits some capability for generalization *beyond* the training examples.
- Parallelism and Connectivity
  - ◆ Great potential for *parallelism* units computations are largely *independent* of each other
  - ◆ *Massive parallelism* and *high connectivity* are not necessarily

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## Basic concepts (3)

- Machine designed to model the way in which brain performs tasks
  - ◆ implemented by electronic devices and/or software (simulation)
  - ◆ Learning is the major emphasis of NN
- Massively parallel distributed processor
  - ◆ massive interconnection of simple processing units
  - ◆ simple processing units store experience and make it available to use
  - ◆ knowledge is acquired from environment thru learning process
- Learning Machine
  - ◆ modify synaptic weights to obtain design objective
  - ◆ modify own topology - neurons die and new one can grow
- Connectionist network - connectionism

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## Neural Network Definitions(1)

- According to Haykin, *Neural Networks: A Comprehensive Foundation*, 1999:
  - ◆ – A neural network is a massively parallel distributed processor that has a natural propensity for storing experimental knowledge and making it available for use. It resembles the brain in two respects:
    - Knowledge is acquired by the network through a Learning process.
    - Interneuron connection strengths known as synaptic weights are used to store the knowledge.
  - ◆ Another definition:
    - Neural networks are parameterized computational nonlinear algorithms for (numerical) data/signal/image processing.
    - Implemented either on a general-purpose computer or into a dedicated hardware.

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## Neural Network Definitions (2)

- According to the DARPA Neural Network Study (1988, AFCEA International Press, p. 60):
  - ◆ A neural network is a system composed of many simple processing elements operating in parallel whose function is determined by network structure, connection strengths, and the processing performed at computing elements or nodes
- According to Nigrin (1993), p. 11:
  - ◆ A neural network is a circuit **composed** of a very large number of simple processing elements that are neural based. Each element operates only on local information. Furthermore each element operates asynchronously; thus there is no overall system clock.
- According to Zurada (1992), p. xv:
  - ◆ Artificial neural systems, or neural networks, are physical cellular systems which can acquire, store, and utilize experiential knowledge.

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## Basic characteristic of biological neurons(1)

- About six order of magnitude *slower* than silicon logic gates:
  - ◆ – neurons operates in millisecond range ( $10^{-3}$  sec);
  - ◆ – silicon gates operates in nanosecond range ( $10^{-9}$  sec sec).
- A function of a biological neuron seems to be much more *complex* than that of a logical gate.
- Reasons for brain's slow rate of operation
  - ◆ A huge number of neurons ( $10^{11}$ ) and interconnections ( $60 \times 10^{12}$ ).
  - ◆ Complex operations done by neurons.

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## Basic characteristic of biological neurons(2)

- **Brain is an information processing system:**
  - ◆ highly complex,
  - ◆ non-linear,
  - ◆ Parallel.
- **Brain performs tasks many times faster than fastest digital computers:**
  - ◆ pattern recognition,
    - ♦ Example:
      - Brain: a complex task of perceptual recognition in 200-300 ms;
      - Computer: much lesser complexity task can take hours.
  - ◆ perception,
  - ◆ motor control.

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## Benefits of Neural Networks(1)

- **Power comes from massively parallel distributed structure and learn to generalize**
  - ◆ generalization : ability to produce reasonable output for inputs not encountered during training
- **NN cannot provide solution by working individually**
  - ◆ Complex problem is decomposed into simple tasks, and each task is assigned to a NN
  - ◆ Long way to go to build a computer that mimic human brain
- 1. Non-linearity**
  - ◆ interconnection of non-linear neurons is itself non-linear
  - ◆ desirable property if underlying physical mechanism is non-linear

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## Benefits of Neural Networks(2)

### 2. Input-Output Mapping

- ◆ input-output mapping is built by learning from examples
  - reduce differences of desired response and actual response
- ◆ non-parametric statistical inference
  - estimate arbitrary decision boundaries in input signal space

### 3. Adaptivity

- ◆ adapt synaptic weight to changes of environment
- ◆ NN is retrained to deal with minor change in the operating environment
  - change synaptic weights in real-time
- ◆ more robust, reliable behavior in non-stationary environment
- ◆ Adaptive pattern recognition, Adaptive signal processing, Adaptive control
- ◆ stability-plasticity dilemma

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## Benefits of Neural Networks(3)

### 4. Evidential Response

- ◆ not only selected class label but also confidence
- ◆ confidences can be used to reject
  - recognition accuracy vs. reliability ( do only you can do)

### 5. Contextual Information processing

- ◆ (contextual) knowledge is presented in the structure
- ◆ every neuron is affected by others

### 6. Fault Tolerance

- ◆ performance degrades gracefully under adverse condition
  - catastrophic failure of d-computer

### 7. VLSI implementability

- ◆ massively parallel nature makes it well suited for VLSI implementation

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## Benefits of Neural Networks(4)

### 8. Uniformity of Analysis and Design

- ◆ Neuron is common to all NN
- ◆ share theories and learning algorithms
- ◆ modular networks can be built thru seamless integration

### 9. Neurobiological Analogy

- ◆ living proof of fault tolerant, fast, powerful processing
- ◆ Neuroscientists see it as a research tool for neurobiological phenomena
- ◆ Engineers look to neuroscience for new ideas

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## Who is concerned with NNs?

- Computer scientists
  - ◆ **non- symbolic** information processing with neural nets and learning systems in general.
- Statisticians
  - ◆ **flexible, nonlinear regression and classification models.**
- Engineers in many areas, such as
  - ◆ **signal processing and automatic control.**
- Cognitive scientists
  - ◆ **possible apparatus to describe models of thinking and consciousness (High- level brain function).**
- Neuro-physiologists
  - ◆ **describe and explore medium- level brain function (e. g. memory, sensory system, motor system).**
- Physicists
  - ◆ **to model phenomena in statistical mechanics and for a lot of other tasks.**

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## Some Current Artificial Neural Network Applications

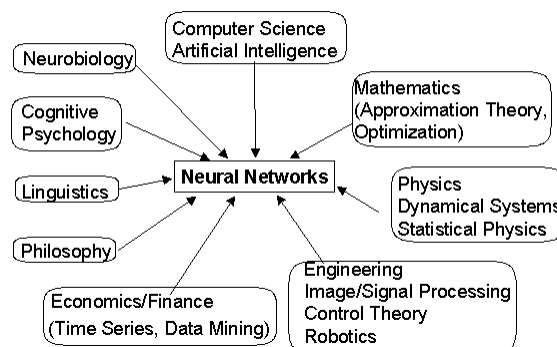
### ■ Brain modeling

- ◆ Models of human development – help children with developmental problems
- ◆ Simulations of adult performance – aid our understanding of how the brain works
- ◆ Neuropsychological models – suggest remedial actions for brain damaged patients

### ■ Real world applications

- ◆ Financial modeling – predicting stocks, shares, currency exchange rates
- ◆ Other time series prediction – climate, weather, airline marketing tactician
- ◆ Computer games – intelligent agents, backgammon, first person shooters
- ◆ Control systems – autonomous adaptable robots, microwave controllers
- ◆ Pattern recognition – speech recognition, hand-writing recognition, sonar signals
- ◆ Data analysis – data compression, data mining, PCA, ICA
- ◆ Noise reduction – function approximation, ECG noise reduction
- ◆ Bioinformatics – protein secondary structure, DNA sequencing

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